

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2879

APPLICANT:
SERIAL NO.
FILING DATE:
INVENTION:

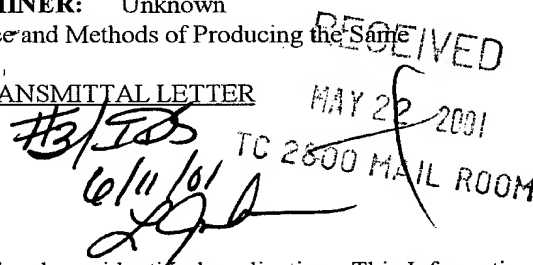
Araki, S. et al
09/747,610
December 22, 2000

ATTORNEY DOCKET NO. 09792909-4729
GROUP ART UNIT: 2879
EXAMINER: Unknown

"Light-absorptive Antireflection Filter, Display Device and Methods of Producing the Same"

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

HONORARY ASSISTANT COMMISSIONER OF PATENTS
Washington, D.C. 20231



Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

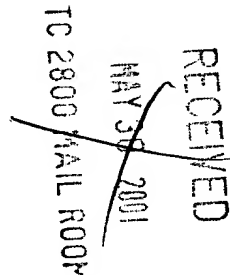
- ☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
 - ☐ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
 - ☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ A check in the amount of \$_____ to cover any required fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHN NATH & ROSENTHAL

By David R. Metzger Reg. 32,919
David R. Metzger
Sonnenschein, Nath & Rosenthal
P.O. Box 061080
Wacker Drive Station, Sears Tower
Chicago, Illinois 60606
Telephone (312) 876-8000

When phoning re this application,
please call (312) 876-8000



CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner of Patents at the United States Patent and Trademark Office, Box DD, Washington D.C. on May 18, 2001.

Paula M. Theismann
Paula M. Theismann



RECEIVED

MAY 22 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE STATEMENT

APPLICANT(S): Araki, S. et al DOCKET NO.: 09792909-4729
SERIAL NO.: 09/747,610 GROUP ART UNIT: 2879
DATE FILED: December 22, 2000 EXAMINER: Unknown
INVENTION: "Light-absorptive Antireflection Filter, Display Device and Methods of Producing the Same"

Hon. Assistant Commissioner for Patents

Box DD

Washington, DC 20231

S I R:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. §1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

I. SUBMITTED FOREIGN PATENT REFERENCES

<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
0649160	EP	April 19, 1995
WO97/28467	PCT	August 7, 1997

II. OTHER PUBLICATIONS

"Multilayer Coated Glass For Heat-Ray Shielding", Chemical Abstracts, Vol. 116, No. 18, April 20, 1992, Hidemi, N. et al.

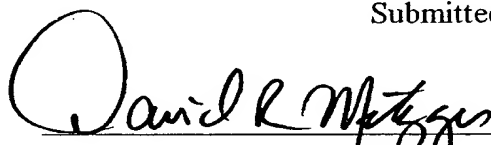
RECEIVED
MAY 30 2001
TC 2800 MAIL ROOM

RECEIVED
MAY 23 2001
TC 2800 MAIL ROOM

III. EXPLANATION OF RELEVANCE

The above-identified references were cited in European Patent Office Search Report of April 18, 2001 in counterpart European Patent Application No. 00127993.4. A copy of the Search Report is submitted herewith.

Submitted by,



(Reg. 32,919)

David R. Metzger

SONNENSCHN NATH & ROSENTHAL

P.O. Box 061080

Wacker Drive Station

Sears Tower

Chicago, IL 60606-1080

Telephone: 312/876-8000

Attorneys for Applicant(s)